10/15/01

### UTILITY PATENT APPLICATION TRANSMITTAL (Small Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. 0094.050

Total Pages in this Submission

#### TO THE ASSISTANT COMMISSIONER FOR PATENTS

Box Patent Application
Washington, D.C. 20231

		Washington, D.C. 20231					
Transmit invention	ted here	rewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application	for an				
		NANOCOMPOSITES	821 U.S. 09/97625				
and inve	nted by:	<i>y</i> :	<u> </u>				
F	Richard	W. SIEGEL, Linda S. SCHADLER, Tao LI, John MENDEL and Glen C. IRVIN					
If a CON	AUNITV	ATION APPLICATION, check appropriate box and supply the requisite information:					
522		tion 🔲 Divisional 🔲 Continuation-in-part (CIP) of prior application No.:					
Which is							
Which is		tion Divisional Continuation-in-part (CIP) of prior application No.:					
Co		tion  Divisional Continuation-in-part (CIP) of prior application No.:					
Enclose	d are:						
		Application Elements					
<b>1.</b> [	□ Filin	ng fee as calculated and transmitted as described below					
2.	<b>⊠</b> Spe	ecification having pages and including the following:					
	a. 🗷	Descriptive Title of the Invention					
	b. 🗀	Cross References to Related Applications (if applicable)					
	c.   Statement Regarding Federally-sponsored Research/Development (if applicable)						
(	d.  Reference to Microfiche Appendix (if applicable)						
1	e. 🗷 Background of the Invention						
	f. 🗷	Brief Summary of the Invention					
	g. 🔀	Brief Description of the Drawings (if drawings filed)					
	h. 🗷 Detailed Description						
	i. 🗷 Claim(s) as Classified Below						
	j. 🗷	Abstract of the Disclosure					

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#### **Application Elements (Continued)**

3.	X	Drawing(s) (when necessary as prescribed by 35 USC 113)						
	a.	Example Formal Number of Sheets16						
4.	Oath or Declaration							
	a.	☐ Newly executed (original or copy) 图 Unexecuted						
	b.	☐ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional application only)						
	C.	■ With Power of Attorney □ Without Power of Attorney						
5.	d.	DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b).						
5.	Incorporation By Reference (usable if Box 4b is checked)  The entire disclosure of the prior application, from which a copy of the oath or declaration is sunder Box 4b, is considered as being part of the disclosure of the accompanying application and is incorporated by reference therein.							
<sup>13</sup> 6.		Computer Program in Microfiche						
<b>7.</b>		Genetic Sequence Submission (if applicable, all must be included)						
2 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4 4	a.	□ Paper Copy						
es:	b.	☐ Computer Readable Copy						
	C.	c.   Statement Verifying Identical Paper and Computer Readable Copy						
	Accompanying Application Parts							
8.		Assignment Papers (cover sheet & documents)						
9.		37 CFR 3.73(b) Statement (when there is an assignee)						
10.		English Translation Document (if applicable)						
11.	X	Information Disclosure Statement/PTO-1449   Copies of IDS Citations						
12.		Preliminary Amendment						
13.	X	Acknowledgment postcard						
14.	X	Pertificate of Mailing						
		☐ First Class   Express Mail (Specify Label No.): EL 743963875 US						

### UTILITY PATENT APPLICATION TRANSMITTAL (Small Entity)

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submitting the notice was unintentional.

Docket No. 0094.050

Total Pages in this Submission

		Accompanying Application Parts (Continued)				
15.		Certified Copy of Priority Document(s) (if foreign priority is claimed)				
16.		Small Entity Statement(s) - Specify Number of Statements Submitted:				
17.	X	Additional Enclosures (please identify below):				
		EFS Application Data Sheet (2 pages)				
122		Request That Application Not Be Published Pursuant To 35 U.S.C. 122(b)(2)				
8.  10. 10. 10. 10. 10. 10. 10. 10. 10. 10.		Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application.				
		Warning				
		An applicant who makes a request not to publish, but who subsequently files in a foreign country or under a multilateral international agreement specified in 35 U.S.C.				

122(b)(2)(B)(i), must notify the Director of such filing not later than 45 days after the date of the filing of such foreign or international application. A failure of the applicant to provide such notice within the prescribed period shall result in the application being regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in

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## UTILITY PATENT APPLICATION TRANSMITTAL (Small Entity)

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Fee	Calc	culation	and	Trans	mittal
	Juli	JUILLIOII	ullu	HUHIO	HILLERI

CLAIMS AS FILED							
For	#Filed	#Allowed	#Extra	Rate	Fee		
Total Claims	22	- 20 =	2	x \$9.00	\$18.00		
Indep. Claims	3	- 3 =	0	× \$40.00	\$0.00		
Multiple Dependent C	laims (check if	applicable)	)		\$0.00		
rak				BASIC FEE	\$370.00		
OTHER FEE (specify							
78 g				TOTAL FILING FEE	\$388.00		
☐ A check in the amount of to cover the filing fee is enclosed. ☐ The Commissioner is hereby authorized to charge and credit Deposit Account No. as described below. A duplicate copy of this sheet is enclosed. ☐ Charge the amount of as filing fee. ☐ Credit any overpayment. ☐ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17. ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).  Dated: October 2, 2001   MARY LOUISE GIOENI, ESQ. Registration No. 41,779							
HESLIN ROTHENBERG FARLEY & 5 Columbia Circle Albany, New York 12203 Phone: 518-452-5600 Fax: 518-452-5579							